

Ensemble Fund

IRA Transfer: Use this form **and** the Ensemble Fund *Individual Retirement Account Application* if you are transferring your existing IRA assets from another custodian directly to a **new Ensemble Fund IRA**. Use this form **only** if you are transferring IRA assets from another custodian to an **existing Ensemble Fund IRA**.

Direct Rollover: Use this form **and** the Ensemble Fund *Individual Retirement Account Application* if you are directly rolling over your existing employer - sponsored retirement plan assets to a **new Ensemble Fund IRA**. Use this form **only** if you are directly rolling over to an **existing Ensemble Fund IRA**.

1. Social Security Number

Social Security Number

2. Investor Information (Please Print or Type)

Name (First, Middle, Last)

Street

City, State, Zip code

() ()

Daytime Telephone Evening Telephone

3. Transfer/Direct Rollover Assets From This Account

Name of Current Trustee, Custodian or Plan Employer

Address

City, State, Zip

()

Telephone Number

Account Number

• Please attach a copy of a recent account statement to help us locate your current account.

4. Instructions to Current IRA Custodian/Plan Employer

I have established an IRA with Ensemble Fund. Please transfer (or direct rollover) assets, in **cash**, from the above account identified in Section 3 according to the instructions **(a)**, **(b)**, and **(c)**.

(a) • IRA Transfer From: (check one if IRA Transfer)

- Traditional IRA Rollover IRA Roth IRA
 Roth Conversion IRA SEP-IRA SIMPLE IRA

• **Direct Rollover From:** (check one if Direct Rollover)

- Employer - Sponsored Plan Other

(b) Payment Information:

- Immediately liquidate all assets and send the cash proceeds.
 Partial Liquidation. \$ _____ or _____ % and retain balance.
 Send cash proceeds of all investments at maturity.
 Send the assets at maturity for the investments listed below.

| Investment | Maturity Date |
|------------|---------------|
| _____ | _____ |
| _____ | _____ |

- Other. Please attach additional transfer / direct rollover instructions.

Note. There may be a penalty for early liquidation.

IRA Transfer / Direct Rollover Request Form

To obtain a copy of the *Individual Retirement Account Application*, please visit **www.ensemble.com** or call toll-free **1-800-785-8165**. For any assistance, please call the number.

Please mail (or send overnight) the completed form to:
Ensemble Fund
c/o Mutual Shareholder Services, LLC
8000 Town Centre Dr., Suite 400
Broadview Hts., OH 44147

(c) Please make check payable to Ensemble Fund and send it, along with a copy of this form to the above address.

If you prefer to wire funds, please call 1-800-785-8165 for instructions.

Suggestion: Contact your current custodian (or plan employer) to determine that they have completed all necessary paperwork to ensure the timely transfer of assets.

5. Instructions (Please check one)

- New Individual Retirement Account:** Open a new *Individual Retirement Account* in accordance with the instructions in the attached *Individual Retirement Account Application*, and invest the transferred proceeds in this account.
 Existing Individual Retirement Account: Invest the transferred proceeds in my existing Ensemble Fund account.

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|--|--|--|--|--|--|--|--|--|--|

Ensemble Fund Account Number

6. Signature of Investor (required)

I acknowledge that I have sole responsibility for my investment choices and that I have received a current prospectus which I have been advised to read carefully before investing.

I understand that the requirements for a valid transfer to a Traditional IRA, SEP IRA, or Roth IRA are complex and that I have the responsibility for complying with all requirements and for the tax results of any such transfer.

I certify to the current IRA custodian, trustee or plan employer that I have established a successor Individual Retirement Custodial Account with the Ensemble Fund for which US Bank, N.A. acts as its custodian, and to which assets will be transferred (or directly rolled over).

 X
Signature of Investor (required) Date

Signature Guarantee: Please check with your current Trustee/Custodian / Plan Employer to determine if a signature guarantee is required to process this transfer.

A signature guarantee may be obtained from any eligible guarantor institution. These institutions include U.S. banks, savings associations, credit unions and brokerage firms. **A Notary Public cannot provide a Signature Guarantee.**

Name of Guarantor Institution / Guarantee Stamp

Authorized Signature Title

| | |
|--|---------------|
| For Office Use Only. To be completed by US Bank, N.A., Custodian for Ensemble Fund. | |
| US Bank, N.A. agrees to accept custodianship and the transfer / direct rollover described above for the Ensemble Fund IRA established on behalf of the above named individual. US Bank, N.A. accepts its appointment as successor custodian for the above IRA. | |
| _____ Authorized Signature | _____ Date |